

EXHIBIT A

Period Ended September 30, 2013

Report No. 58

<u>CASH RECEIPTS:</u>		Net Change				Code
		for Period	Prior Period Cumulative	Total Received	Cumulative Detail	
<u>General Cash Receipts</u>		\$35,288,406.64				
Beginning Cash Balance		246.51	289,841,661.49	289,841,908.00	289,841,908.00	
Transfer from Debtor's Estate - Securities		0.00	336,660,934.06	336,660,934.06	336,660,934.06	
Transfers from Debtor's Estate - BNY Account		0.00	235,156,309.36	235,156,309.36	235,156,309.36	
Transfers from Debtor's Estate - Chase Account		0.00	4,036,145.08	4,036,145.08	4,036,145.08	
Transfers from Debtor's Estate - Other		0.00	1,843,166.84	1,843,166.84	1,843,166.84	
Interest and Dividends		0.00	37,316,297.78	37,316,297.78	37,316,297.78	
Closeout Proceeds - Broker Dealers		0.00	21,783,082.40	21,783,082.40	21,783,082.40	
Closeout Proceeds - NSCC		0.00	17,304,329.91	17,304,329.91	17,304,329.91	
Closeout Proceeds - DTCC		0.00	47.26	47.26	47.26	
Sale of Debtor's Assets		0.00	89,690.80	89,690.80	89,690.80	
- Sports Tickets		0.00	7,871,653.96	7,871,653.96	7,871,653.96	
- Bank Debt Participations		0.00	204,170.51	204,170.51	204,170.51	
- DTCC Shares		0.00	1,416,279.52	1,416,279.52	1,416,279.52	
- Market Making Business		0.00	795,000.00	795,000.00	795,000.00	
- Abtech		0.00	97,865.49	97,865.49	97,865.49	
- NSX Shares		0.00	6,494,631.95	6,494,631.95	6,494,631.95	
- BLM Air Charter		0.00	531,078.49	531,078.49	531,078.49	
Administrative Subtenant Rent Revenue		0.00	(531,078.49)	(531,078.49)	(531,078.49)	
Adjusting Administrative Subtenant Rent Revenue		0.00				
Refunds - Deposits		0.00	9,841.45	9,841.45	9,841.45	
- Dues/Subscriptions		0.00	177,247.15	177,247.15	177,247.15	
- Car Registrations		0.00	157.00	157.00	157.00	
- Vendors		0.00	61,567.20	61,567.20	61,567.20	
- Transit Cards		0.00	833.61	833.61	833.61	
- Insurance/Workers Comp		0.00	402,859.56	402,859.56	402,859.56	
- Ref. - Political Contributions		0.00	144,500.00	144,500.00	144,500.00	
- Refunds Other		0.00	50.84	50.84	50.84	
Recoveries - Litigation Related		0.00	0.00	0.00	0.00	
- Customer Avoidances		0.00	117,298,582.68	117,298,582.68	117,298,582.68	
- Pre-Litigation Settlements		0.00	1,905,143,597.98	1,905,143,597.98	1,905,143,597.98	
- Litigation Settlements		1,745,000.00	6,392,637,847.31	6,394,382,847.31	6,394,382,847.31	
- Donation Settlements		0.00	500,000.00	500,000.00	500,000.00	
- Vendor Preferences		0.00	809,850.39	809,850.39	809,850.39	
- Employees		0.00	10,674.74	10,674.74	10,674.74	
- Taxing Authorities		0.00	12,777.56	12,777.56	12,777.56	
- Class Actions		61,736.02	635,085.49	696,821.51	696,821.51	
- NASDAQ		0.00	308,948.49	308,948.49	308,948.49	
- NYSE		0.00	183,683.79	183,683.79	183,683.79	
- Transaction Fees		0.00	96,816.23	96,816.23	96,816.23	
- Other		0.00	296,298.73	296,298.73	296,298.73	
Miscellaneous		0.00	0.36	0.36	0.36	
Earnings on Trustee's Investments		178,209.44	22,667,963.06	22,846,172.50	22,846,172.50	
Interest on Trustee's Savings Accounts		25,659.73	1,084,260.65	1,109,920.38	1,109,920.38	
Sub-total General Cash Receipts		\$2,010,851.70	\$9,403,394,710.68	\$9,405,405,562.38	\$9,405,405,562.38	
Advances from SIPC						
Administration - Advances		24,256,901.32	808,141,188.56	832,398,089.88	832,398,089.88	
Securities - Paid Bank Loans		0.00	0.00	0.00	0.00	
- Cash in Lieu		0.00	805,103,978.44	805,103,978.44	805,103,978.44	
Sub-total SIPC Advances		\$24,256,901.32	\$1,613,245,167.00	\$1,637,502,068.32	\$1,637,502,068.32	
Funds Transferred from Investment Accounts *See Note (3) on Page 3		0.00	5,759,766,491.53	5,759,766,491.53		
Total Cash Receipts		\$26,267,753.02	\$16,776,406,369.21	\$16,802,674,122.23	\$9,405,405,562.38	\$0.00
						\$1,637,502,068.32

Period Ended September 30, 2013

Report No. 58

CASH DISBURSEMENTS:

<u>Administrative Disbursements</u>	<u>Net Change for Period</u>	<u>Prior Period Cumulative</u>	<u>Cumulative Total Paid</u>	<u>Code</u>
Computer - Rental	0.00	11,121.59	11,121.59	5011
- Software Support	0.00	55,159.20	55,159.20	5012
- Equipment Leases	0.00	204,159.01	204,159.01	5013
Employee Related - Salaries-Net	0.00	4,361,844.80	4,361,844.80	5020
- FICA-Employer	0.00	318,550.60	318,550.60	5021
- Fed. & St. Unemploy.	0.00	4,296.08	4,296.08	5023
- Temporary Help	0.00	29,612.50	29,612.50	5024
- Employee Medical Plan	0.00	830,103.99	830,103.99	5025
- Employee LTD	0.00	6,887.03	6,887.03	5026
- Employee Expense Reimbursement	0.00	1,125.87	1,125.87	5027
- Employee Life/AD&D	0.00	9,006.83	9,006.83	5028
- Other	0.00	1,622.90	1,622.90	5029
Insurance - Trustee Bond	0.00	2,400.00	2,400.00	5030
Insurance - Surety & Fidelity Bonds	0.00	37,400.00	37,400.00	5031
Insurance Workers Comp	0.00	12,578.00	12,578.00	5032
- Other	(3,652.00)	24,751.00	21,099.00	5039
Fees - Payroll Processing	0.00	8,195.96	8,195.96	5045
Fees - Escrow	0.00	1,218,198.85	1,218,198.85	5046
- Other	0.00	12,337.22	12,337.22	5047
Expenses for Asset Sales	0.00	19,205.73	19,205.73	5048
Rent - Office	0.00	3,987,347.17	3,987,347.17	5050
- Adjustment for Administrative Subtenant Rent Revenue	0.00	(531,078.49)	(531,078.49)	5050a
- Equipment	0.00	1,695.89	1,695.89	5051
- Warehouse	12,577.08	680,076.94	692,654.02	5052
- Bulova	0.00	310,130.75	310,130.75	5053
- Other	936.00	57,569.27	58,505.27	5059
Costs - Vacating 885 Third Avenue	0.00	20,179.46	20,179.46	5111
Telephone and Telegraph	0.00	360,456.68	360,456.68	5060
Communication Fees	0.00	644,177.76	644,177.76	5061
Utilities - Electricity	332.79	19,236.79	19,569.58	5070
Office Supplies & Expense - Maint. & Repairs	0.00	79,338.86	79,338.86	5080
- Moving & Storage	0.00	253,607.94	253,607.94	5081
- Postage/Handling/Preparation	0.00	40,961.12	40,961.12	5082
- Reproduction	0.00	183,889.65	183,889.65	5083
- Locksmith	0.00	5,811.39	5,811.39	5084
- Security	0.00	249,897.70	249,897.70	5085
- Supplies	0.00	3,342.03	3,342.03	5086
- Temporary Help	0.00	4,588,642.69	4,588,642.69	5087
- Process Server - Complaint	0.00	241,451.52	241,451.52	5088
- Other	0.00	33,818.62	33,818.62	5089
Taxes	0.00	555.51	555.51	5090
NYC Commercial Rent Tax	0.00	154,269.47	154,269.47	5091
Claims Related Costs - Mailing Costs	0.00	23,053.28	23,053.28	5101
- Publication	0.00	163,961.13	163,961.13	5102
- Supplies	0.00	16,244.58	16,244.58	5103
- Printing	0.00	2,207.42	2,207.42	5104
Court Related Noticing - Postage/Handling/Preparation *See Note (1) Below	0.00	0.00	0.00	5106
- Reproduction	0.00	0.00	0.00	5107
- Supplies	0.00	0.00	0.00	5108
Scanning - Investigation	0.00	5,158,625.31	5,158,625.31	5110
Foreign Research	0.00	38,975.00	38,975.00	5112
Miscellaneous	0.00	0.05	0.05	5115
Hosting Expense *See Note (2) Below	1,640,186.62	15,134,017.87	16,774,204.49	5244
Sub-total General Admin. Disbursements	\$1,650,380.49	\$39,091,020.52	\$40,741,401.01	
Professional Fees and Expenses				
Trustee Fees	0.00	4,377,662.10	4,377,662.10	5200
Trustee Expenses	0.00	2,549.25	2,549.25	5201
Trustee Counsel Fees (Baker)	9,280,029.15	454,474,021.84	463,754,050.99	5210
Trustee Counsel Expenses (Baker)	427,298.86	10,385,067.06	10,812,365.92	5211
Trustee Counsel Fees (Windels)	0.00	20,050,084.11	20,050,084.11	5212
Trustee Counsel Expenses (Windels)	0.00	861,591.16	861,591.16	5213
Special Counsel Fees	0.00	23,939,188.28	23,939,188.28	5220
Special Counsel Expenses	0.00	5,304,617.34	5,304,617.34	5221
Consultant Fees *See Note (2) Below	4,251,612.71	273,576,039.48	277,827,652.19	5240
Consultant Expenses *See Note (1) Below	286,591.36	12,502,777.68	12,789,369.04	5241
Investment Banker Fees	0.00	1,050,000.00	1,050,000.00	5242
Sales Tax	519.00	1,223,761.03	1,224,280.03	5243
Mediator Fees	0.00	1,039,502.57	1,039,502.57	5245
Mediator Expenses	0.00	9,498.85	9,498.85	5246
Receiver Counsel Fees	0.00	300,000.00	300,000.00	5260
Receiver Counsel Expenses	0.00	6,449.08	6,449.08	5261
Receiver's Consultants Fees	0.00	316,000.00	316,000.00	5262
Receiver's Consultants Expenses	0.00	15,000.00	15,000.00	5263
Sub-total Professional Fees and Expenses	\$14,246,051.08	\$809,433,809.83	\$823,679,860.91	
Total Administrative Disbursements	\$15,896,431.57	\$848,524,830.35	\$864,421,261.92	

Period Ended September 30, 2013

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CASH DISBURSEMENTS:

<u>CASH DISBURSEMENTS:</u>		<u>Net Change for Period</u>	<u>Prior Period Cumulative</u>	<u>Total Paid</u>	<u>Cumulative Totals</u>		
<u>Claim Related Disbursements</u>					<u>Customer Fund</u>	<u>General Estate</u>	<u>SIPC</u>
Customer - Paid Bank Loan		\$ 0.00	\$ 5,453,357,385.59	\$ 5,453,357,385.59	\$ 4,648,253,407.15		\$ 805,103,978.44
- Securities - Cash in Lieu							6021
- Securities - Purchases							6022
- Indemnification							6023
- Cash Balance							6024
Customer -							6031
Customer -							6041
Customer - Trustee Journal Entry							6051
per Allocation							6061
Other - Contractual Commitments							6000
- Pd. Bank Loan							6111
- Indemnification							6121
Other -							6131
Other -							6141
Other -							6151
Other - Trustee Journal Entry							6160
per Allocation							6100
General Creditor							6200
Sub-total Claim Disbursements		\$ 0.00	\$ 5,453,357,385.59	\$ 5,453,357,385.59	\$ 4,648,253,407.15	\$ 0.00	\$ 805,103,978.44
<u>Other Disbursements (except investments)</u>							
SIPC - Refunds - Recoupment							6301
- Indemnification							6310
- Contr. Commitments							6311
- Paid Bank Loan							6321
- Subrogation							6322
Other -							6400
Other -							6401
Other -							6402
Other -							6403
Other -							6404
Sub-total Other Disbursements		\$ 0.00	\$ 102,805,012.23	\$ 102,805,012.23	\$ 102,805,012.23	\$ 0.00	\$ 0.00
Investments by Trustee - Purchases *See Note (3) Below		\$ 203,869.17	\$ 10,336,430,734.40	\$ 10,336,634,603.57			1900
Sub-total Administrative Dib. - page 2		\$ 15,896,431.57	\$ 848,524,830.35	\$ 864,421,261.92	\$ 0.00	\$ 0.00	\$ 864,421,261.92
Total Disbursements		\$ 16,100,300.74	\$ 16,741,117,962.57	\$ 16,757,218,263.31	\$ 4,751,058,419.38	\$ 0.00	\$ 1,669,525,240.36
Total Receipts less Disbursements		\$ 10,167,452.28	\$ 35,288,406.64	\$ 45,455,858.92	\$ 4,654,347,143.00	\$ 0.00	(\$32,023,172.04)
Ending Cash Balance *See Note (4) Below			\$ 45,455,858.92				

* Note (3) Two preferred custody accounts and an insured money market account have been established at Citibank for investment purposes and an additional investment account is maintained at JP Morgan Chase. A Broker's account, which was previously established at Morgan Joseph, was closed in January 2012. Since January 20, 2009, \$10,336,634,603.57 of recovered funds have been transferred into these investment accounts and \$5,759,766,491.53 of these funds have subsequently been used for interim distributions to customers with allowed claims and for operations. (See Page 5 for more details)

* Note (4) The ending cash balance includes a \$20,580,577.67 balance in the Citibank Business Checking Account and \$24,875,281.25 in the Citibank Distribution Account.

Period Ended September 30, 2013

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SUMMARY INFORMATION ON STATUS OF LIQUIDATION

	Customer Claimants	Broker/Dealer Claimants	General Estate Claimants
Claims received	<u>16,519</u>	<u>49</u>	<u>94</u>
Claims satisfied by distribution of cash and/or securities:			
a. As part of the transfer in bulk			
b. On an account by account basis-Fully Satisfied	1,245		
c. On an account by account basis-Partially Satisfied	1,249		
	<u>2,494</u>	<u>-</u>	<u>-</u>
Claims Determined - no claims	12		
Claims Deemed Determined - pending litigation	160		
Claims Determined - withdrawn	209		
Claims Determined but not yet satisfied	18		
Claims under review	-	49	94
Claims Denied:			
a. No Claims			
c. Assets at Another Broker			
c. Other Denials for which no objections were filed	9,536		
d. Denials for which objections were filed:			
- Hearing not yet set	3,446		
- Set for Hearing	644		
- Adjudicated			
	<u>14,025</u>	<u>49</u>	<u>94</u>

Accounts with cash and/or securities which were transferred in bulk

Filing Date Value

Customer name securities distributed

Customer fund securities distributed

\$

Living Trust
(Trustee's Signature)

10/11/2013
(Date)

William C. Karpinski
(Accountant's Signature)

10/10/2013
(Date)

Period Ended September 30, 2013

Report No. 58

IRVING H. PICARD, TRUSTEE FOR THE LIQUIDATION OF BLMIS LLC
 Investment Accounts

	Citibank Preferred Custody Account-Original Account				Citibank Preferred Custody Account-#2 Cash Assets/Mutual Funds	Citibusiness IMMA Account Account Balance	Total Citibank
	Cash Assets/Mutual Funds	U.S. Treasury Bills	US Treasury Notes	Accrued Interest			
Balance August 31, 2013	2,962,457	644,751,506	103,171,599	22,124	750,907,686	763,594,173	156,084,261
Maturity of U.S. Treasury Bills	225,373,199	(225,373,199)			-		-
Purchase of Securities	(225,375,624)	225,375,624			-		-
Unrealized Gain or (Loss)		95,457			95,457		95,457
Realized Gain on Sale of Securities	3,984				3,984		3,984
Interest and Dividends Earned							
Interest				10,511	10,511	75,321	25,660
Dividends	50			(1)	49		
Balance September 30, 2013	2,964,066	644,849,388	103,171,599	32,634	751,017,687	763,669,494	156,109,921
							1,670,797,102

JP Morgan Chase

	Cash	U.S. Treasury Bills	Account Balance
Balance August 31, 2013	46,257	2,906,783,659	2,906,829,916
Maturity of U.S. Treasury Bills			-
Purchase of U.S. Treasury Bills	167,718		167,718
Unrealized Gain or (Loss)			-
Interest and Dividends Earned			
Interest			-
Dividends			-
Balance September 30, 2013	46,257	2,906,951,377	2,906,997,634

Period Ended September 30, 2013

Report No. 58

IRVING H. PICARD, TRUSTEE FOR THE LIQUIDATION OF BLMIS LLC
Consultant Expenses for Court Related Noticing

	Net Change for Period	Prior Period Cumulative	Cumulative Total Paid
Postage / Handling / Preparation	0.00	490,385.30	490,385.30
Printing	0.00	44,945.40	44,945.40
Reproduction Costs	0.00	682,797.70	682,797.70
Supplies	0.00	91,503.64	91,503.64
Total *See Note Below	<u>\$0.00</u>	<u>\$1,309,632.04</u>	<u>\$1,309,632.04</u>

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*Note: All of the expenses above were incurred by consultants in connection with court related noticing procedures, and are included in the Consultant Expenses line (Account #5241) on Page 2 of the SIPC Form 17.